## DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP



2101 L Street NW • Washington, DC 20037-1526 Tel (202) 785-9700 • Fax (202) 887-0689 Writer's Direct Dial: (202) 828-2232 Writer's EMail: DAmicoT@DSMO.com

March 10, 2003

Ms. Stacy L. Summers Micron Technology, Inc. 8000 S. Federal Way Boise, Idaho 83707-0006

PRIVILEGED AND CONFIDENTIAL: ATTORNEY-CLIENT COMMUNICATION

Re:

U.S. Patent Application

Application No.: 10/075,390

Title: METHOD TO ALTER CHALCOGENIDE GLASS FOR IMPROVED

SWITCHING CHARACTERISTICS

Filed: February 15, 2002

Inventor: Kristy A. Campbell, et al

Your Reference: 01-0688

Our Reference: M4065.0505/P505

Dear Stacy:

Please find enclosed a Supplemental Information Disclosure Statement, PTO Form SB/08 (1449/PTO), and front page of the references cited therein that we recently filed with the U.S. Patent and Trademark Office in connection with the above-referenced patent application.

If you have any questions or concerns, please do not hesitate to contact us.

Very truly yours,

Thomas J. D'Amico

TJD/MGP/pjd Enclosures



Docket No.: M4065.0505/P505

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Kristy A. Campbell, et al

Application No.: 10/075,390

Group Art Unit: 2818

Filed: February 15, 2002

Examiner: Not Yet Assigned

For: METHOD TO ALTER

CHALCOGENIDE GLASS FOR IMPROVED SWITCHING

CHARACTERISTICS

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, DC 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached PTO/SB/08. It is respectfully requested that the subject matter of the documents be expressly considered during the prosecution of this application and that the documents be made of record therein and appear among the "References Cited" on any patent to issue from this application. A copy of each document is attached.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned.

A brief explanation of relevance of the non-patent documents listed on form PTO/SB/08 is provided and attached hereto as Appendix A. The brief explanation provided for each document is not tantamount to an admission that a document is "material" or that it qualifies as prior art. The Examiner is respectfully requested to utilize

1576670 v1; XSK#01!.DOC

Appendix A only as a tool by which to better categorize the documents for substantive use in examining the claims of the application.

Documents discussed in Appendix A marked with an asterisk (\*) are indicated to be potentially more relevant than others. Such marking is provided only to assist the Examiner; however, the Examiner is requested to thoroughly review all documents cited herein.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 C.F.R. § 1.98 and the Examiner is respectfully requested to consider and cite the listed documents.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1073, under Order No. M4065.0505/P505. A duplicate copy of this paper is enclosed.

Dated: March 10, 2003

Respectfully submitted

Thomas J. D'Amico

Registration No.: 28,371

DICKSTEIN SHAPIRO MORIN &

OSHINSKY LLP

2101 L Street, N.W.

Washington, DC 20037-1526

(202) 785-9700

Attorney for Applicants

## APPENDIX A

Japanese patent application publication No. 56126916A by Akira: this published application generally relates to, inter alia, diffusing selenium with high accuracy into a chalcogenide with silver by use of photoresist and thermal treatment.

\*Axon Technologies Corp., Technology Description: Programmable Metallization Cell: this believed publication generally relates to, inter alia, use of chalcogenides doped with metal much as silver or copper to create solid state switch with lower voltage requirement.

Helbert et al., SPIE Vol. 333 Submicron Lithography (1982): this publication generally relates to, <u>inter alia</u>, hybrid ultragraphic process using both electron beam and conventional optical exposure within the same device level with a photoresist.

Hilt, dissertation (1999): this publication generally relates to, inter alia, stability of chalcogenides such as Ge<sub>x</sub>Se<sub>1-x</sub> with Ag doping by photodissolution and thermal diffusion.

Hirose et al., Phys. Stat. Sol. (1980): this publication generally relates to, inter alia, switch and memory phenomena in amorphous As<sub>2</sub>S<sub>3</sub> with photo-doped Ag, including new mechanism, electrical reliability, rapid memory performance, thermal characteristics and durability

Holmquist et al., 62 J. Amer. Ceram. Soc., No. 3-4 (March-April 1979): this publication generally relates to, inter alia, reactions and diffusion of Ag in arsenic chalcogenide glass below the glass transition temperature, including solubility information and concentration dependence of Ag diffusion in these glasses.

Huggett et al., 42 Appl. Phys. Lett., No. 7 (April 1983): this publication generally relates to, inter alia, reactive sputter etching to develop silver-sensitized Ge<sub>x</sub>Se<sub>1-x</sub> photoresist.

Kawaguchi et al., 164-166 J. Non-Cryst. Solids (1993): this publication generally relates to, inter alia, deposition mechanism of Ag particles on Ag-rich Ag-As-S glass from a view-point of electrical effects.

- \*Kolobov and Elliott, Advances in Physics (1991): this publication generally relates to, inter alia, photodoping (photodiffusion/photodissolution) of amorphous chalcogenides by metals, particularly silver.
- \*Kozicki et al., Superlattices and Microstructures, 27 (2000): this publication generally relates to, inter alia, solid solutions of metals (e.g., silver) in arsenic trisulfide and their physical and electrical characteristics.
- \*Kozicki et al., Microelectronic Engineering, vol. 63/1-3 (2002): this publication generally relates to, inter alia, the photodiffusion of Ag into germanium selenide glass films, the amount of Ag that can be incorporated in to such a film by photodiffusion, and the characteristics of the resulting doped films.
- \*Kozicki et al., Proceedings of the 1999 Symposium on Solid State Ionic Devices (1999): this publication generally relates to, <u>inter alia</u>, physical and electrical characteristics of metal doped chalcogenide films (photodoped Ag<sub>4</sub>As<sub>2</sub>S<sub>3</sub>) between electrodes, useful in memories, configurable connections, and self-repairing interconnections.
- \*Kozicki and Mitkova, Proceedings of the XIX International Congress on Glass, Society for Glass Technology (2001): this publication generally relates to, inter alia, the physical effects of introduction of Ag into chalcogenide glasses, where introduction is by photodiffusion.

McHardy et al., 20 J. Phys. C.: Solid State Phys. (1987): this publication generally relates to, <u>inter alia</u>, sensitivity and high resolution of metals in amorphous chalcogenides by electron and UV radiation.

Owen et al., Nanostructure Physics and Fabrication (1989): this publication generally relates to, inter alia, photo-induced structural or physico-chemical changes of amorphous chalcogenides when exposed to light/irradiation, affecting chemical solubility.

Shimizu et al., 46 B. Chem Soc. Japan, No. 12 (1973): this publication generally relates to, inter alia, electric conductivity increase by increasing Ag-photodoping of chalcogenide glass.

PTO/SB/08A (10-01)
Approved for use through 10/31/2002.OMB 0651-0031
U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
U.S. Department of the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Substitute for form 144	9A/PTO		Complete if Known			
				Application Number	10/075,390		
	INFORMA <sup>*</sup>	TION DI	SCLOSURE	Filing Date	February 15, 2002		
	STATEME	NT BY	APPLICANT	First Named Inventor	Kristy A. Campbell		
				Art Unit	2818		
	(use as n	nany sheets as	necessary)	Examiner Name	Not Yet Assigned		
She	et 1	of	4	Attorney Docket Number	M4065.0505/P505		

			U.S. PA	ATENT DOCUMENTS	
Examiner Initials*	Cite - No.1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
	AA	2000/0072188 App	6/2002	Gilton	Figures Appear
	AB	2002/0123169 App	9/2002	Moore et al.	· · · · · · · · · · · · · · · · · · ·
	AC	2002/0123248 App.	9/2002	Moore et al.	
	AD	3,622,319	11/1971	Sharp	<del> </del>
·····	AE	3,743,847	7/1973	Boland	
	AF	4,269,935	5/1981	Masters et al.	<del>-</del>
	AG	4,312,938	1/1982	Drexler, et al.	<del>-</del>
	AH	4,316,946	1/1982	Masters, et al.	
	ΑI	4,320,191	3/1982	Yoshikawa et al.	
	AJ	4,405,710	9/1983	Balasubramanyam et al.	
	AK	4,419,421	12/1983	Wichelhaus, et al.	
	AL	4,795,657	1/1989	Formigoni et al.	<del></del>
	AM	4,847,674	7/1989	Sliwa et al.	<del> </del>
	AN	4,499,557	2/1985	Holmberg et al.	<del></del>
	AO	5,177,567	1/1993		<del></del>
	AP	5,219,788	6/1993	Klersy et al.	-
	AQ	5,238,862		Abernathey et al.	<u> </u>
	AR	5,315,131	8/1993	Blalock et al.	
	AS	5,350,484	5/1994	Kishimoto et al.	
	AT	5,360,981	9/1994	Gardner et al.	
	ΑÙ	5,512,328	11/1994	Owen et al.	
-		5,512,773	4/1996	Yoshimura et al.	
		5,726,083	4/1996	Wolf et al.	
	AX	5,841,150	3/1998	Takaishi	
	AY	5,846,889	11/1998	Gonzalez et al.	
		5,920,788	12/1998	Harbison et al.	
			7/1999	Reinberg	
		5,998,066	12/1999	Block et al.	
		6,077,729	6/2000	Harshfield	
		6,117,720	9/2000	Harshfield	
		6,143,604	11/2000	Chiang et al.	
	AF1	6,177,338	1/2001	Liaw et al.	
		6,236,059		Wolstenholme et al.	
		6,297,170	10/2001	Gabriel et al.	
		6,300,684		Gonzalez et al.	
		6,316,784		Zahorik et al.	
		6,329,606	12/2001	Freyman et al.	
				McDaniel et al.	
		6,376,284	4/2002	Gonzalez et al.	
		6,391,688	5/2002	Gonzalez et al.	
		6,414,376		Thakur et al.	
	AP1	6,423,628		Li et al.	
				Kozicki	
				Kozicki	
				Kozicki	
	<u> </u>	2003/0027416 APP	2/6/2003	Moore	

PTO/SB/08A (10-01)

Approved for use through 10/31/2002.0MB 0651-0031

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Sub	stitute for form 1449A	PTO			Complete if Known		
					Application Number	10/075,390	
IN.	IFORMATI:	on dis	CLOSUR	E	Filing Date	February 15, 2002	
S	TATEMEN	T BY A	PPLICAN <sup>-</sup>	Т	First Named Inventor	Kristy A. Campbell	
	<b></b>				Art Unit	2818	
	(use as man	y sheets as n	ecessary)		Examiner Name	Not Yet Assigned	
Sheet	2	of	4		Attorney Docket Number	M4065.0505/P505	
	AU1   2003/000	1229 APP	1/2/2003	Moor	re et al.		
	AV1 2002/010	6849 APP	8/8/2002	Moor			

AU1	2003/0001229 APP	1/2/2003	Moore et al.	
AV1	<u></u>	8/8/2002	Moore	
	2002/0127886 APP	9/12/2002	Moore et al.	
AX1	2002/0123170 APP	9/5/2002	Moore et al.	<del></del>
AY1	2002/0163828 APP	11/2002	Krieger et al	<del> </del>
AZ1	6,072,716	6/2000	Jacobson et al.	
BA1	5,272,359	12/93	Nagasubramanian et al.	<del></del>
BB1	4,671,618	6/87	Wu et al.	
BC1	4,800,526	1/89	Lewis	<del></del>
BD1	2003/0035314 APP	02/02/2003	Kozicki	
BE1	2003/0035315 APP	02/02/2003	Kozicki	
BF1	6,473,332	04/04/01	Ignatiev et al.	<del></del>
	<u> </u>			

PTO/SB/08A (10-01)
Approved for use through 10/31/2002 OMB 0651-0031
U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Approved for use through 10/31/2002.OMB 0651-0031

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Su	bstitute for form 1449A/I	РТО <sup>°</sup>		Complete if Known		
1				Application Number	10/075,390	
	NFORMATION	ON DISC	CLOSURE	Filing Date	February 15, 2002	
	STATEMEN <sup>*</sup>	T BY AP	PLICANT	First Named Inventor	Kristy A. Campbell	
•	<b>6.22</b> -2			Art Unit	2818	
	(use as man)	sheets as nec	essary) 	Examiner Name	Not Yet Assigned	
Sheet	3	of	4	Attorney Docket Number	M4065.0505/P505	

		FOREI	GN PATENT	DOCUMENTS		
Examiner Initials*	Cite No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>6</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T°
	BA	56126916	10/19981	Akira et al.		1-
	BB				<del> </del>	+-

Evention	
Examiner	Date
Signature	
dignature	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>6</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

MAR ,	1 2003 Block	the Paperwork Reduction Act	t of 199	5, no persons are required to re	U. S. Patent and Tradem	PTO/SB/08B (10-01) roved for use through 10/31/2002.OMB 0651-0031 ank Office: U.S. DEPARTMENT OF COMMERCE nation unless it contains a valid OMB control number.
	Su	bstitute for form 1449B/PTC	<u> </u>			Complete if Known
					Application Number	10/075,390
	11	NFORMATION	1 DI	SCLOSURE	Filing Date	July 10, 2002
	S	STATEMENT I	3Y /	APPLICANT	First Named Inventor	Kristy A. Campbell
					Group Art Unit	2818
		(use as many sho	eets as	necessary)	Examiner Name	Not Yet Assigned
	Sheet	4	of	4	Attorney Docket Number	M4065.0695/P695

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the	T
nitials	No. <sup>1</sup> .	item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	CA	Axon Technologies Corporation, TECHNOLOGY DESCRIPTION: <i>Programmable Metalization Cell(PMC)</i> , pp. 1-6 (Pre-May 2000).	Τ
	СВ	Helbert et al., Intralevel hybrid resist process with submicron capability, SPIE Vol. 333 SUBMICRON LITHOGRAPHY, pp. 24-29 (1982).	
	CC	Hilt, DISSERTATION: Materials characterization of Silver Chalcogenide Programmable Metalization Cells, Arizona State University, pp. Title page-114 (UMI Company, May 1999).	Τ
	CD	Hirose et al., High Speed Memory Behavior and Reliability of an Amorphous As <sub>2</sub> S <sub>3</sub> Film Doped Ag, Phys. STAT. Sol. (a) 61, pp. 87-90 (1980).	
	CE	Holmquist et al., Reaction and Diffusion in Silver-Arsenic Chalcogenide Glass Systems, 62 J. AMER. CERAM. Soc., No. 3-4, pp. 183-188 (March-April 1979).	
	CF	Huggett et al., Development of silver sensitized germanium selenide photoresist by reactive sputter. etching in SF <sub>6</sub> , 42 APPL. PHYS. LETT., No. 7, pp. 592-594 (April 1983).	
	CG	Kawaguchi et al., Mechanism of photosurface deposition, 164-166 J. Non-CRYST. Solids, pp. 1231-1234 (1993).	
	СН	Kolobov and Elliott, Photodoping of Amorphous Chalcogenides by Metals, Advances in Physics, Vol. 40, No 5, 625-684 (1991).	Ī
	CI	Kozicki, et al., "Applications of Programmable Resistance Changes in Metal-doped Chalcogenides", Proceedings of the 1999 Symposium on Solid State Ionic Devices, Editors - E.D. Wachsman et al., The Electrochemical Society, Inc., 1 - 12 (1999).	
	CJ	Kozicki, et al., Nanoscale effects in devices based on chalcogenide solid solutions, Superlattices and Microstructures, 27, 485-488 (2000).	
	CK	Kozicki, et al., Nanoscale phase separation in Ag-Ge-Se glasses, Microelectronic Engineering, vol. 63/1-3,155-159 (2002).	
	CL	M.N. Kozicki and M. Mitkova, Silver incorporation in thin films of selenium rich Ge-Se glasses, Proceedings of the XIX International Congress on Glass, Society for Glass Technology, 226-227 (2001).	
	СМ	McHardy et al., The dissolution of metals in amorphous chalcogenides and the effects o electron and ultraviolet radiation, 20 J. Phys. C.: Solid State Phys., pp. 4055-4075 (1987).	
	CN	Owen et al., Metal-Chalcogenide Photoresists for High Resolution Lithography and Sub-Micron Structures, NANOSTRUCTURE PHYSICS AND FABRICATION, pp. 447-451 (M. Reed ed. 1989).	
	СО	Shimizu et al., The Photo-Erasable Memory Switching Effect of Ag Photo-Doped Chalcogenide Glasses, 46 B. CHEM SOC. JAPAN, No. 12, pp. 3662-3365 (1973).	
	CP		Т
	CQ		
			╀
			L

Examiner	Date
Signature	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.